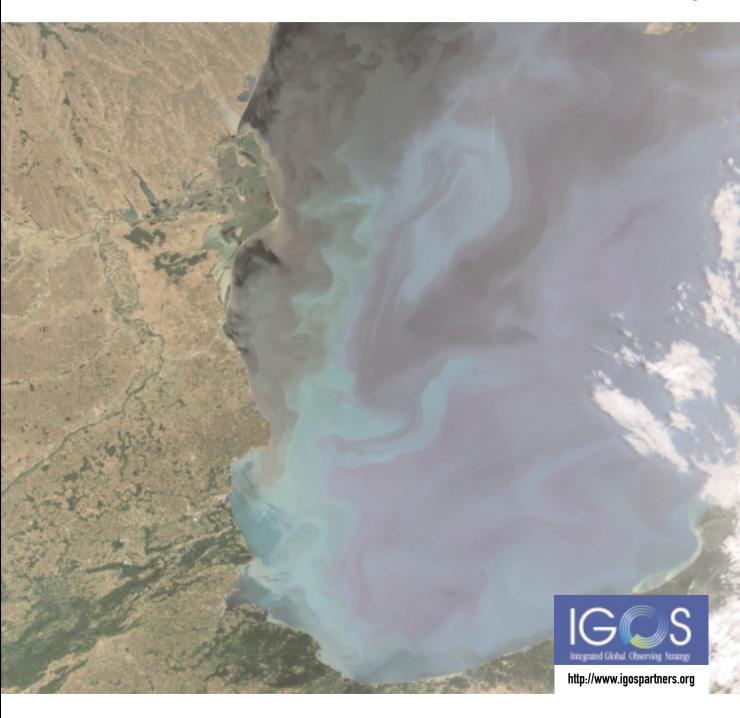
## An Ocean Theme for the IGOS Partnership



Final Report from the Ocean Theme Team January 2001



About the cover image:

An image of the Black Sea from 5 June 2000, captured by the Moderate Resolution Imaging Spectrometer (MODIS) sensor, a key instrument aboard NASA's Terra (EOS AM-1) satellite, which also is flying sensors provided by NASDA and CSA. The resolution of this image is 250 meters. The phytoplankton bloom in the western Black Sea is believed to be composed of coccolithophores.

## Foreword

In the last two decades, the international scientific community has increasingly recognized the Earth as a complex system of atmosphere, continents, oceans, and life. Many of the influences on weather, climate, and environmental change occur at the interfaces of the components of this complex system. This is particularly apparent between the atmosphere and oceans, which are the heat engine of Earth's climate system. Both short-term weather and seasonal and decadal climate around the world are affected by ocean-atmosphere interactions, as we witnessed during the most recent cycle of El Niño and La Niña events.

The span of nations, research organisations, and international programmes with vital interests and capabilities in Earth system science are joining forces through the Integrated Global Observing Strategy (IGOS). IGOS provides both a strategic framework and a planning process to bring together remotely sensed and *in situ* observations, from both research and operational programs, and combines these with the necessary research, computation, and modelling. IGOS seeks to enable prediction and assessments of environmental changes and their consequences on agricultural, industrial, and economical development around the world and, ultimately, to understand, observe, and predict the Earth system behavior.

This Report is the first in a series to describe how the Partners in IGOS plan to transition from research to operational environmental prediction in several areas of Earth system science in a concrete way. This Report is from the Ocean Theme Team and is intended to serve as a blue print for global operational oceanography. We are approaching the ability to produce "state-of-the-ocean" and ocean prediction products akin to those routinely produced for the atmosphere and weather. These products and services will be an enormous boon to marine weather forecasting, food production, and transportation. Toward this end, the nations of the world are developing powerful tools comprising the four legs of the ocean prediction stool: remote sensing, *in situ* observations, computational resources, and modelling. The challenge before us is to integrate these tools in order to achieve dependable ocean prediction capabilities.

Future thematic reports under consideration by the IGOS Partners include ecosystems and the terrestrial carbon cycle, disaster management, the water and energy cycle, and atmospheric chemistry and climate.

> Ghassem R. Asrar Associate Administrator Office of Earth Science NASA Headquarters Washington, DC



# **Table of Contents**

Foreword	1			
Executive Summary 3				
Final Report from the Ocean Theme Team	5			
1 Motivation for the Ocean Theme	5			
2. Background	5			
3. The IGOS Ocean Theme	8			
4. Challenges in Ocean Observation	9			
4.1. Introduction	9			
4.2. The Long-Term Continuity Challenge	11			
4.2.1. Ocean Surface Topography	11			
4.2.2. Ocean Vector Winds	13			
4.2.3. Ocean Biology and the Surface Carbon Flux	15			
4.2.4. Sea Surface Temperature	17			
4.2.5. Sea Ice Concentration, Extent, and Drift	17			
4.2.6. Salinity	18			
4.2.7. Summary	19			
4.3. The Knowledge Challenge	19			
4.3.1. Salinity	19			
4.3.2. Precision Gravity Field or Geoid	20			
4.3.3. Sea Surface Temperature	20			
4.3.4. Ocean Biology and the Surface Carbon Flux	21			
4.3.5. Sea Ice Drift and Thickness	23			
4.3.6 Sea-State and Atmospheric Pressure	23			
4.4. Data Services, Models, Products, and Applications	23			
4.4.1. Archives	24			
4.4.2. Quality Control	24			
5. Awareness	24			
6. Conclusion	25			
7. References	25			
Appendix 1: Satellite Missions in Support of IGOS Ocean Theme	26			
Appendix 2: In Situ Components of the Global Ocean Observing System				
(GOOS) for Which Commitments Have Been Made	29			
Appendix 3: Examples of Data, Products, and Services 33				



## An Ocean Theme for the IGOS Partnership

## **Executive Summary**

The Integrated Global Observing Strategy Partnership (IGOS-P) established in 1999 a thematic approach to the implementation of the IGOS. Recognising that other themes will emerge, the Partnership chose the "Ocean Theme" to be the "pathfinder" in this approach, and an Ocean Theme Team was assembled to formulate guidance. One goal of the Ocean Theme Team is to consider and study the full range of current and planned observations, while identifying potential gaps in future observations that might compromise ocean observational records. The overall strategy is to create an observing system for the oceans that serves the research and operational oceanographic communities. The set of observations is based on an evaluation of the range of requirements that have already been presented by Global Ocean Observing System (GOOS), Global Climate Observing System (GCOS), and Global Ocean Data Assimilation Experiment (GODAE).

The next five years must include development of institutional structures committed to: (1) managing the total data flow (*in situ* as well as satellite); (2) managing the production, distribution, and quality assessment of relevant data products; and (3) working with end-users to ensure that the evolving system is responsive to their needs. Observation protocols evolve with time, therefore, stated observational requirements will need to be reviewed in the future. It is the recognised applications that ultimately drive the shape of the requirements for the ocean observing system. The observations on which we focus here are needed to address important issues in ocean science, and through combinations of measurements and models, to support the production of an extensive range of products for a broad community of users. The applications are directly linked to societal needs, including among other things numerical weather prediction, seasonal-to-interannual climate forecasts, and climate assessment. The data are needed for deriving fields of information about the ocean and for initialising and validating the models used to derive other products. Aside from observations we also need to improve, through the GODAE and the Ocean Biology Project, how we assimilate the data into models.

This Report presents a proposed set of long-term ocean observations and identifies a number of challenges for improving our knowledge about both the oceans and observing techniques. In terms of a long-term continuity challenge, the observations and key issues and objectives may be summarised as follows.

*Ocean Topography:* The key challenge is the continuation of a TOPEX/Poseidon-class high-precision satellite (i.e., Jason-1), an ERS/ENVISAT-class altimeter and the implementation of the Array for Real-time Geostrophic Oceanography (ARGO) profilers. The key issues are the future funding of ocean altimetry beyond Jason-1 and of the ARGO profilers. The principal data product is a 10-day global map of sea-surface height (SSH) at a resolution of 0.5°.

*Ocean Vector Winds:* The key challenge is the continuation of a morning and afternoon ERS/QuikSCAT-type of data service, with a coverage equivalent to or better than a dual-sided scatterometer. The key issues here concern the closing of gaps in global coverage by two scatterometers in the 2000–2003 and 2005–2008 time periods. Principal products include five-day averaged winds at the ocean's surface.

*Ocean Biology:* The key challenge is the continuation of global satellite missions for ocean colour, such as SeaWiFS and MODIS. The issues are to help define and realise the NASA-NPOESS bridging mission for the post-2005 time frame, refine and co-ordinate the products that can be derived from ocean colour missions, establish routine and autonomous measurements of *in situ* ocean biology and optics, and establish routine measurements of the  $CO_2$  system. Principal products include an eight-day global composite at a resolution of 9 km, and local-area coverage (on request).

Sea Surface Temperature (SST): The key challenge is the continuation of the geostationary and low-Earth-orbit meteorological satellites that produce merged sea-surface temperature data products. The provision of sufficient high-quality, *in situ* data to blend with satellite data remains a key issue. A second issue is to consider how to transform ATSR-class instruments to operational systems. Principal products include five-day, global, 0.33°x0.33° SST obtained from a variety of *in situ* sources and satellite data.

*Sea Ice:* The key challenge is the continuation of the DMSP passive microwave systems, Radarsat, and EOS Terra and post-ENVISAT systems to provide for long-term observations of ice extent and type. A key issue is the funding of Radarsat-2. Principal products include: ice drift, ice deformation, and thin ice age (Radarsat), and ice extent, ice concentration, and ice drift (SSM/I).

*Salinity:* The key challenge is the continuous, large-scale, systematic collections of surface and subsurface salinity data are required but do not presently exist.

In terms of the Knowledge Challenge, the key issues and objectives are as follows.

Precision Gravity Field or Geoid: To implement the GRACE/GOCE class missions and provide a high quality Geoid.

Salinity: To develop and demonstrate space technologies that can eventually provide long-term, global data to complement the *in situ* measurement systems.

Sea Surface Temperature: To pursue the development needed to attain sea-surface temperature estimates to significantly better than  $\pm 0.5$ °C on a routine and global basis.

Sea State and Atmospheric Pressure: To pursue developments in Synthetic Aperture Radar and other methods for space-based measurements.

*Ocean Biology:* To develop algorithms and data products to describe primary productivity and other biological processes in the ocean and in coastal seas.

Sea Ice Thickness: To develop further satellite systems and associated geophysical data processing systems capable of determining ice thickness.

Finally, this document describes the need for data services for assimilation of these observations to enable modeling and applications development.



## An Ocean Theme for the IGOS Partnership: Final Report from the Ocean Theme Team\*

## 1. Motivation for the Ocean Theme

The overarching vision for the Ocean Theme is to develop and maintain continuity of observing capabilities for the global ocean, and to advance to a permanent global ocean observing system.

The objective of the Ocean Theme is to demonstrate how ongoing, internationally-co-ordinated planning of observational requirements by various groups can be made comprehensive and coherent, and to provide the basis for an integrated action plan that can be used in national decisionmaking in the area of ocean observing. Such a strategy needs to be well focused, truly international, and integrated both between agencies and with regard to space-based and *in situ* observing. And, because it is new, the strategy needs to be implemented in a phased and evolutionary manner consistent with existing commitments.

## 2. Background

The Integrated Global Observing Strategy (IGOS) Partnership (IGOS-P) was established in June 1998 as a natural convergence of a number of international agencies concerned with global environmental issues, research, and Earth observations. The Partnership comprises the program offices of the three Global Observing Systems (Ocean, Land, and Climate), the Committee on Earth Observation Satellites (which co-ordinates member space agencies), entities involved in implementing and encouraging research programs on global change (International Geosphere Biosphere Programme, IGBP; World Climate Research Programme, WCRP; International Group of Funding Agencies, IGFA), and the international agencies which sponsor the Global Observing Systems (Food and Agriculture Organisation, FAO; International Council for Science, ICSU; Intergovernmental Oceanographic Commission of UNESCO, IOC-UNESCO; United Nations Environment Programme, UNEP; United Nations Educational, Scientific and Cultural Organisation, UNESCO; World Meteorological Organisation, WMO). The goal of the Partnership is to create a strategic planning process that links research, long-term monitoring, and operational programs in a structure that helps to determine observational needs and to identify resources to fill those needs.

In early 1999, the IGOS-P requested guidance in strategic planning through a thematic approach. The "Ocean Theme" was chosen to initiate the theme approach, that is, to be the "pathfinder." An Ocean Theme Team was assembled to formulate guidance. Within IGOS, it is recognised that other themes



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will emerge with recommendations and calls for action. Co-ordination will be required between the themes in order to optimise observing systems for the ocean, land, and the Earth's climate.

This Report from the Ocean Theme Team focuses on some of the immediate decisions required by satellite agencies if there is to be an orderly transition from research to a fully operational ocean observing system. Many other actions must be taken in parallel and that build on the pilot activities (e.g., GODAE, and regional experiments such as PIRATA). New demands will be placed on existing *in situ* measurement systems (e.g., SST), and major investments are required in a number of areas, both regional and scientific.

In particular, the next five years must include: (1) the development of institutional structures committed to managing the total data flow (*in situ* as well as satellite); (2) the management of production, distribution, and quality assessment of relevant data products; and (3) a commitment to working with end-users to ensure that the evolving system is responsive to their needs. Depending on the countries involved, an array of agencies is responsible for these facets of the system. It will likely take years to secure the budgets required to maintain essential ongoing observational programmes in an operational system, and to acquire the additional funds to spin up the advances needed to make it fully comprehensive and fully global. Co-ordinating the activity will require a phased implementation. The implementation plan must include: (1) a succinct statement of the overall vision, (2) criteria for success for the Observing System, (3) program milestones that demonstrate the progress, and (4) specific timelines and responsibilities for each stage of implementation.

The work of the Ocean Theme Team is motivated by the following axioms.

- 2.1. The human need for global ocean observations is well established. In weather forecasting, ocean observations can be expected to provide increased predictive capabilities both through better understanding of the ocean processes and in providing a pattern-history. Just as a network of ocean observations has provided information to protect people from the ravages of hurricanes and the like, so too will ocean observations lead to better prediction of ocean conditions enabling our safe use of the sea. We will be able to increase the predictability of phenomena such as sea-state, coastal erosion, and harmful algal blooms, and better manage food sources from the ocean and the input of waste products into the ocean. Since the ocean contributes significantly to climate variability, observation-based prediction over longer periods will aid in understanding and forecasting climate change. In addition, the health of the oceans can only be understood with global, comprehensive, and integrated observations. Knowledge of the ocean transcends borders.
- 2.2. The economic importance of oceans. Oceans are both directly and indirectly major beneficiaries to mankind. The state of the ocean influences climate and the energy and water cycles. Hence, the ocean affects agriculture and water and energy supplies. The state of the ocean also affects the intensity of hurricanes and tropical cyclones, which cause hundreds of millions of dollars in property damage and alter the economic fortunes of businesses in the affected areas. The El Niño/La Niña phenomenon of the tropical Pacific widely impacts the economics of crop production in the tropics and mid-latitudes. Worldwide trade, 90 percent of which goes by sea, is expected to double over the next decade, requiring improved now-casting and forecasting services to enable cost-effective operations through safe navigation. The march of the oil and gas industry into deeper water, with productive wells now occurring at depths of 2,000 meters, also demands improved now-casts and forecasts of marine conditions. Demands for ocean information are growing, too, in coastal waters, in response to population pressure, an increase in runoff of waste-products and fertilisers from land,



and in response to the increased use of coastal seas for recreational activities, fishing, and aquaculture. Here we see a demand for environmental monitoring and habitat assessment in addition to weather and ocean now-casting and fore-casting. To meet these various demands in coastal seas, we are seeing the establishment of an increasing number of well-instrumented, local-area observation grids. It is increasingly apparent, however, that these local grids require a better understanding of transfers with the open ocean because conditions away from coasts frequently influence coastal conditions.

- 2.3. The capability to observe the ocean and to deliver useful ocean data products is well established. Sampling capabilities in oceanography have evolved greatly in the last 20 years. The advent of satellite-based remote sensing of sea-surface temperature, sea level, winds, and ocean colour, has made oceanography a truly global science. In situ sampling systems, compatible with remote sensing, have also been developed. Notably, neutrally-buoyant floats, which also profile depth, have been developed as part of the global change programs World Ocean Circulation Experiment (WOCE) and Tropical Ocean Global Atmosphere (TOGA), which are components of the World Climate Research Programme (WCRP). Likewise, during this period, numerical models of ocean circulation have advanced rapidly and are now being coupled to ecosystem models, keeping pace with the increasing speed and capacity of supercomputing technology.
- 2.4. The policy imperative for a global ocean observing system is well established. Through international negotiations, national governments have agreed to numerous conventions that although not explicitly stated, embody the requirements for measuring various ocean parameters globally and in a concerted, systematic way. The list of relevant conventions is growing and presently includes: the Convention on the Law of the Sea; the Framework Convention on Climate Change (UNFCCC); the Biodiversity Convention; Agenda 21 (agreed at the United Nations Conference on Environment and Development in Rio in 1992); the Global Plan of Action for the Protection of the Marine Environment from Land-Based Activities; the London Dumping Convention; and the Agreement on Highly Migratory and Straddling Stocks. Governments need coherent information and improved understanding of the ocean to meet their obligations under these Conventions. The Conventions identify requirements and needs which can only be satisfied by the concerted action of a large number of countries. The UNFC-CC, at its fourth meeting in Buenos Aires in November 1998, called for increased sampling of the ocean, especially to fill present data gaps, as essential for monitoring climate change.
- 2.5. Improved knowledge of the ocean is essential to further development of a global ocean observing system. The operational global observing system of the future will be built initially by capitalising on the observing systems of today, many of which were developed for research purposes at academic institutions. As research continues, there will be further improvements in the operational observing system. Indeed, experience has proven that the full involvement of academic institutions and scientists is required in GOOS since the technology and research available at such institutions has helped to guide the development of the system. Expertise from the commercial, communications, and other user communities is also required for technical reasons and to refine present observing systems for optimum effectiveness. It is already apparent that in order to have a higher-quality observing system in the near-future, certain research experiments will have to be undertaken now to add value in the areas of technology (such as a salinity sensing from space), data assimilation, and algorithm development. In addition,



certain lines of fundamental research are needed to advance areas where users want information that is not provided by present observing systems. The involvement of academic institutions and scientists in GOOS is happening in several ways. For example, there is the development of the Partnership for Observations in the Global Ocean (POGO), involving the world's major research institutions. Involvement also is occurring through the dedication of the research community to the development of new measuring systems in the ARGO project, and in GODAE. In addition, the scientific community continues to be one of the many users of an ocean observing system. The report entitled "The GOOS 1998" (now available via Internet at *http://ioc.unesco.org/goos*) is a detailed source of information on, and motivation for, the Global Ocean Observing System.

### 3. The IGOS Ocean Theme

To date there has been a significant amount of progress in the development of ocean observations, and the foundations for a global ocean observing system are in place. Following experiments such as the WOCE, an Initial Observing System for GOOS has been established through the co-ordination of a number of observing systems sponsored by IOC, WMO, ICSU, UNEP, FAO, and CEOS. The initial system includes global arrays of moored buoys, drifters, voluntary observing ships, sea-level gauges, and some satellite systems.

New projects are already underway. These include the Global Ocean Data Assimilation Experiment (GODAE), set up under the Ocean Observations Panel for Climate and adopted by the CEOS Strategic Implementation Team (SIT). It aims to demonstrate the utility of civilian operational oceanography. In addition, the International Ocean Colour Co-ordinating Group (IOCCG), an affiliate of SCOR, is overseeing the Ocean Biology Project, initiated by the CEOS SIT. The goal of the Ocean Biology Project is to implement a strategy for understanding biogeochemical and ecosystem processes in the ocean by combining long-term ocean colour and other remote sensing satellite data with *in situ* measurements. As a result of endeavours such as these, improved and more complete integration of the *in situ* and space-based components of the observing system is progressing.

Organisationally, countries are in the process of setting up GOOS national committees or similar organising mechanisms. An objective is to establish the mandate within government agencies for the long-term support of global ocean observations. At an initial GOOS meeting at UNESCO in Paris (July 1999), several countries committed significant parts of their national observing systems to GOOS. This is a good start. Countries are also organising regionally, as witnessed by the growing GOOS regional organisations and pilot projects. These include EuroGOOS, NEAR-GOOS (Northeast ASIA), SEACAMP (southeast ASIA), MED-GOOS (Mediterranean), PacificGOOS, WIOMAP (Indian Ocean), IOCARIB-GOOS (Caribbean), and PIRATA (tropical Atlantic), to name a few.

The challenge for the present is a commitment to long-term continuity of an operational series of ocean observations, as well as to continuing the development of new technology for ocean observations and forecasts. Establishing these commitments and the supporting organisational structures are major objectives of the Integrated Global Observing Strategy. Progress toward the commitments is shown in Appendix 1, which lists for each space agency the status of satellite and satellite-related missions for operational oceanography. The continuity challenge is at a crucial point in time. Almost all the observations dedicated to the ocean are funded from non-operational R&D sources and, thus, by definition, their long-term continuity cannot be guaranteed. It is assumed that certain *in situ* systems are "operational" for most practical purposes. These include a global network of tide gauges, voluntary observing ships, surface drifters plus some equatorial moored arrays, and an embryonic array of profiling floats (ARGO). But while these capabilities have evolved over many years, as a result of both scientific requirements and local applications, funding is still subject to annual science



budgets. The one exception is the secondary use of meteorological observations and these are funded by the weather services.

While it can be argued that the basic technical capability is available, establishing continuity of funding for ocean observations is a challenge in itself. A major issue is organisational, in defining who is responsible, and this often varies on a country-by-country basis. There is also the need to transfer the existing skills from the scientists to the operational users, an area where industry can assist.

Demonstrating the potential benefits that would accrue from the expenditure of public funds on the oceans is one of the most important requirements. Here, scientific case studies based on understanding of weather and climate are in place, and many of the basic economic benefits are known. However, there is a need to demonstrate better the link between the need for observations and the benefits in terms of both the public and economic perception. The IGOS Partners must work to increase awareness of potential benefits among the relevant communities of both scientists and end-users.

At this time, we have enough experience to design observing systems for individual variables. Individual variables will have their own observational constraints in terms of, for example, spatial resolution, frequency, and precision. The challenge we face, therefore, is to design an observing system that integrates all the variables of interest and does so in an optimal way, and that also combines *in situ* and space-based components. It also is appropriate to point out the importance of *in situ* observations in their own right, and as part of an integrated ocean observing strategy beyond calibration and validation. *In situ* observations are the only way to observe directly the ocean interior. The *in situ* measurements are absolutely essential for interpreting and making full use of the more limited suite of satellite observations. In a complementary way, satellite observations allow the broader suite of *in situ* observations to be extrapolated in space. Ocean models and data assimilation provide the tools by which we can transform the integrated suite of observations into consistent global data products. It is the intent of GODAE to demonstrate this capability for "data fusion" in the period 2003–2005.

And we expect that the continuity of existing capabilities will not be sufficient as the level of understanding improves. New knowledge and observations will be necessary to predict future trends in, for example, the ocean circulation. These activities will require the continued application of R&D funds in new technology for the observation of the oceans. The ocean panels of GCOS, GTOS, GOOS and national agencies are formulating the requirements in the area of technology developments.

### 4. Challenges in Ocean Observation

#### 4.1. Introduction

This section sets out the high-level needs, existing coverage, and issues for the future under the headings of the continuity and knowledge "challenges." The detailed specification of the requirements is not included here; some specific references for further reading are given at the end of this document. Importantly, we draw on the Conference Statement from the 1st International Conference for the Ocean Observing System for Climate (http://WWW.BoM.GOV.AU/OceanObs99/). The Conference was held at St. Raphael, France, in October 1999, with the Statement published in March 2000. The St. Raphael Conference Statement is an excellent summary of a strategy for a sustained global ocean observing system. It contains charts that map out the direction for an implementation of observing platforms (both space-based and *in situ*) and networks. The Conference, however, confined itself to physical measures of the ocean and is directed toward climate prediction. In the view of the Conference, biological measurements were seen to be less mature in their operational utility, and therefore not included. We include them in the IGOS Ocean Theme Report, since in strategic terms they are critical to the development of ocean observing systems.





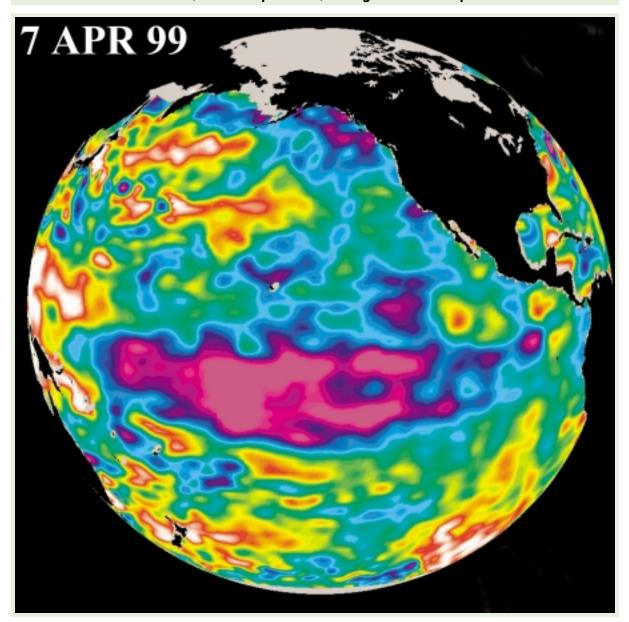


Figure 1: An image of the Pacific Ocean using sea surface altimetry data from TOPEX/Poseidon, from 7 April 1999, during the La Niña phase of ENSO.

The image of sea surface heights reflects heat storage in the ocean. Sea-surface height is shown relative to normal height (green). The cooler water (blue and purple) measures between 8 and 24 cm lower than normal. Warmer water (red and white), dominant in the western Pacific, has higher than normal sea-surface heights between 8 and 24 cm.

In addition, Appendix 1 lists the various satellite missions by space agency. Despite the fact that specific observing system opportunities are mentioned, we do not advocate specific technical solutions to the ocean measurement problem. These are issues that need to be defined and discussed at the implementation level. Identification of presently known opportunities is meant to highlight the need for critical near-term implementation decisions.

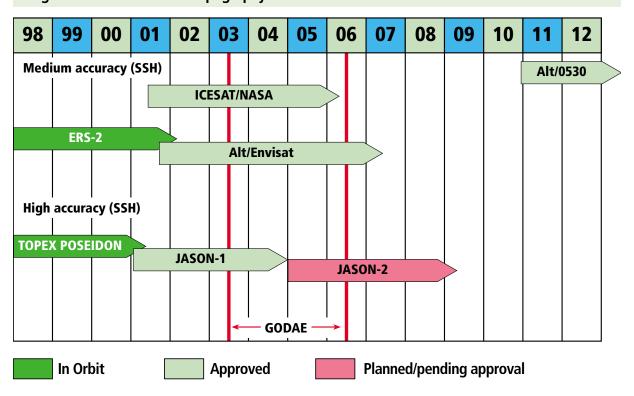


While the observing system will monitor the oceans globally and in an integrated way, the users are varied in their needs and in the way they apply the products of the system. Many users have a need for long-term continuity of a specific series of observations. For others, the challenge is to improve knowledge of ocean processes or of ocean technology, or utilising knowledge to predict change. Marine forecast systems (e.g., for navigation and fishing) are another benefit of an observing system. However, to enlarge the current limited spatial extent and short-term forecasts now available will require establishing networks of telemetry and communications for information transfer, analysis, and delivery of data products. Nevertheless, all agree that the combination of an integrated set of satellite-based and *in situ* observations is critical. Meeting the "continuity challenge" would make reliable environmental data readily available through an expansion of operational oceanography. The question of continuity is addressed in Section 4.2. The "knowledge challenge," on the other hand, covers a diverse range of problems that require research for observing system development. That is addressed in Section 4.3.

#### 4.2. The Long-Term Continuity Challenge

#### 4.2.1. Ocean Surface Topography

GODAE, GOOS, and CLIVAR have described the requirements for ocean surface topography. TOPEX/Poseidon and ERS have demonstrated that satellite altimetry may be utilised in a wide range of ocean research such as planetary waves, tides, global sea level change, seasonal-to-interannual climate prediction, defence, environmental prediction, and commercial applications. The accuracy and spatial and temporal needs vary but can be satisfied by a combination of missions. To support the ongoing products derived from altimetry, the long-term need is for continuity of a high-precision mission (e.g., Jason series) and a polar-orbiting altimeter to enhance temporal/spatial coverage of the global ocean (e.g., ERS, ENVISAT). An array of *in situ* sea-level gauges and a few highly instru-



#### Figure 2: Ocean Surface Topography



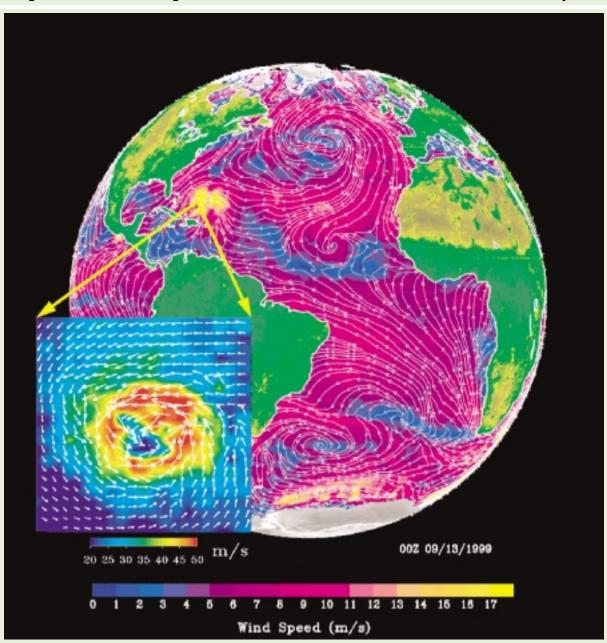
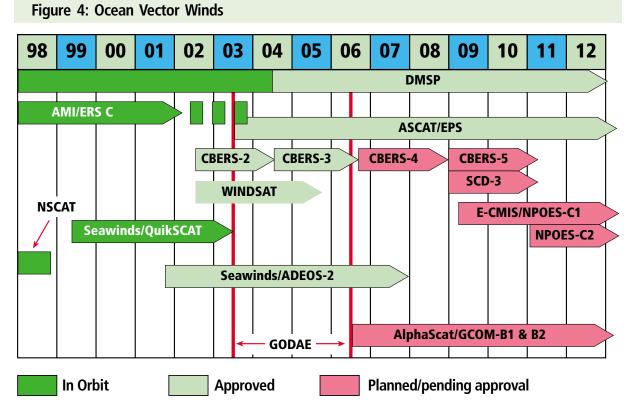


Figure 3: Global Coverage of Ocean Winds and Detailed Structure of Hurricane Floyd

Surface winds in the Atlantic Ocean as viewed by the QuikSCAT scatterometer, with the detailed structure of Hurricane Floyd (August 1999).

mented calibration sites must supplement satellite altimeters to produce accurate global determinations of the variability in surface topography and for sea level change. For ocean circulation applications and estimation of the three-dimensional baroclinic structure, the altimeter data must be complemented by *in situ* measurements to assist in the projection of surface topography measurements into deeper water. ARGO profilers, ships of opportunity, and various other methods are being used for this purpose.





The issues are:

- 1. The need to identify the mechanism and funding to ensure the operational continuity of Jasonseries with a capability at least as good as TOPEX/Poseidon.
- 2. To maintain at least one ERS-class altimeter in a near polar orbit.
- 3. The need to fund, deploy, and maintain the ARGO floats.

#### 4.2.2. Ocean Vector Winds

High-resolution vector winds at the sea surface are required in models of the atmosphere and oceansurface waves. They also are used to force ocean models of ocean circulation. They are proving useful in enhancing marine weather forecasting. The need for, and utility of, accurate, high-resolution winds is widely recognised (e.g., GOOS, CLIVAR, and GODAE requirements). To support ongoing scientific and practical uses of ocean vector winds, the long-term need is for coverage by two broad-swath scatterometers, one each in the morning and afternoon polar orbits.

The single-swath scatterometer on ERS-2 and broad-swath scatterometer on QuikSCAT provide present coverage. Global coverage by two broad-swath scatterometers is not likely until the planned launches of the dual-swath scatterometers, SeaWinds on ADEOS-2, and ASCAT on METOP (although an extended QuikSCAT mission may overlap with SeaWinds/ADEOS-2). At present, there is a gap in coverage by two broad-swath scatterometers in the post-2006 era; however, the proposed Japanese mission, GCOM-B1, may carry a NASA-supplied scatterometer to complement the ongoing ASCAT measurements on METOP. In terms of accuracy, wind vectors should be estimated at about 1 m/s, 20° direction, with a sampling frequency of every 12 hours.

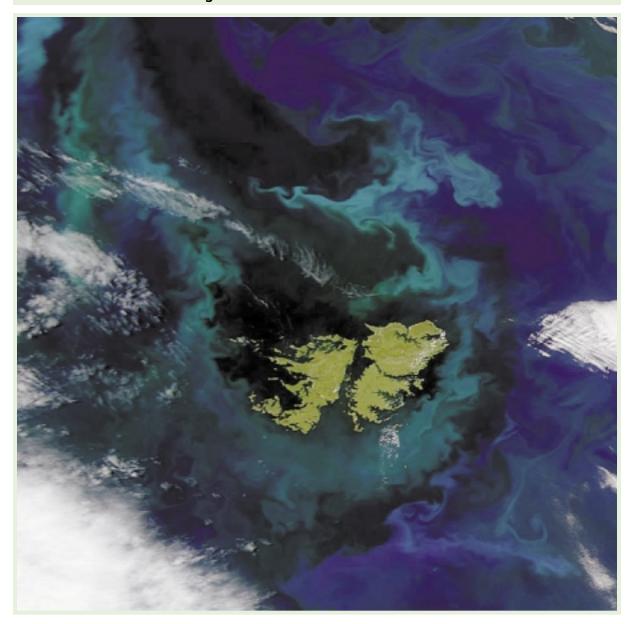


Figure 5: A true-color image from the Sea Wide-Field-of-View Sensor (SeaWiFS) of the ocean surrounding the Falkland Islands in the South Atlantic Ocean.

Single-look polarimetric radiometry is planned for implementation on NPOESS in 2008, with a spaceborne test of a dual-look polarimeter to be flown by the U.S. Navy/NPOESS "Windsat" mission in 2002. The simultaneous flight of Windsat with SeaWinds on ADEOS-2 and ASCAT on METOP will allow a direct comparison of the different methods, such as:

- 1. To realise a SeaWinds follow-on on the proposed GCOM-B1 and ensure continuity of service between ADEOS-2 and GCOM-B1.
- 2. To assess the capability of NPOESS single-look microwave polarimetry for determining surface vector winds using dual-look data from Windsat.



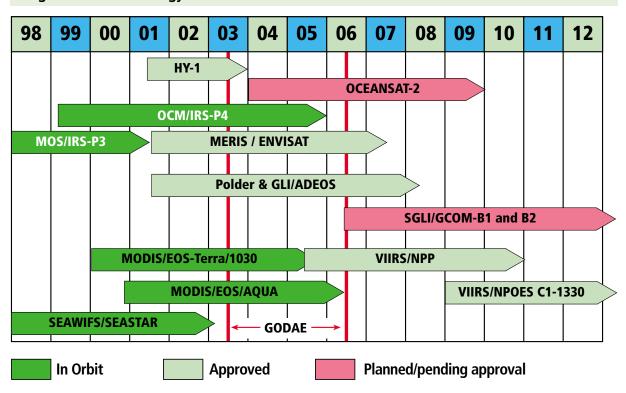


Figure 6: Ocean Biology And The Surface Carbon Flux

4.2.3. Ocean Biology and the Surface Carbon Flux

Since OCTS and POLDER missions on ADEOS in November 1996 and SeaWiFS since September 1997, there has been a nearly uninterrupted data-stream in ocean colour. Within the next 3–5 years, the MODIS sensor on the EOS Terra (2000–2005) and EOS Aqua (2002–2007) satellites, SeaWiFS, MERIS on ENVISAT, OCM on IRS-P4, and POLDER-2 and GLI on ADEOS-2, will serve ocean biology. Beyond these research missions, the U.S. NPOESS Program Office has plans to develop a visible and infrared sensor (VIIRS) that could fulfil the observation needs of both scientific and operational users. The NPOESS preparatory program (NPP) also would deploy prototypes of this sensor on a mission to be launched in the 2004–2005 time frame. SGLI on GCOM and succeeding ocean colour instruments are planned to span a 15-year period.

Products will be developed through the Ocean Biology Project initiated by CEOS and presently coordinated by the International Ocean Colour Co-ordinating Group (IOCCG). The goal of the Ocean Biology Project is to implement a strategy for understanding ocean biogeochemical and ecosystem processes by combining long-term ocean colour and other remote sensing data with *in situ* measurements. NASA's SIMBIOS (Sensor Intercomparison and Merger for Biological and Interdisciplinary Ocean Studies) Project was established in 1997 to provide a co-ordinated program of sensor calibration and product validation, and to develop a strategy for merging ocean colour data from a variety of sensors. The ultimate goal of SIMBIOS is to produce a decades-long time series of ocean colour for the global ocean.

Remote sensing measurements of ocean colour (i.e., the detection of phytoplankton pigments) provide our only global-scale focus on the biology and productivity of the ocean's surface layer. Currently there are few routine *in situ* measurements of biological processes in the ocean. Among them are those made by the continuous plankton recorder (CPR) programme of the Sir Alastair Hardy Foundation for Ocean Science. The CPR Programme provides data from selected regions.

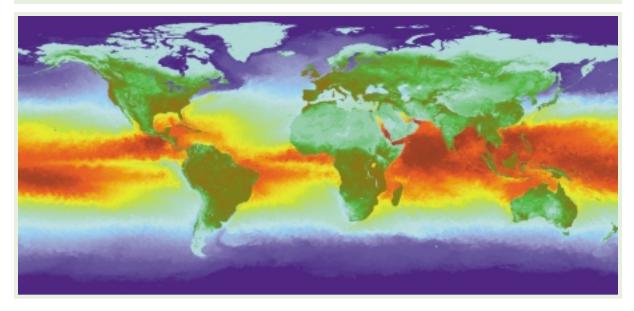


Figure 7: Global composite of sea- and land-surface temperatures obtained from satellite observations.

Fisheries and other biologically-based agencies also provide time series data for a few locales. The time series observatories established by JGOFS, in the tropical Pacific (Hawaii Ocean Time Series, or HOT) and Atlantic (Bermuda Atlantic Time Series, or BATS), provide a comprehensive set of measurements. Indeed, HOT and BATS, spanning the last 10 years, have identified critical problems in the ocean's carbon cycle and also caused a revolution in our thinking about plankton responses to long-term temporal changes. Ultimately what is required is a global network of ecological, bio-optical, and biogeochemical observations, as the basis for calibrating, validating, and adding value to remotely sensed ocean colour data.

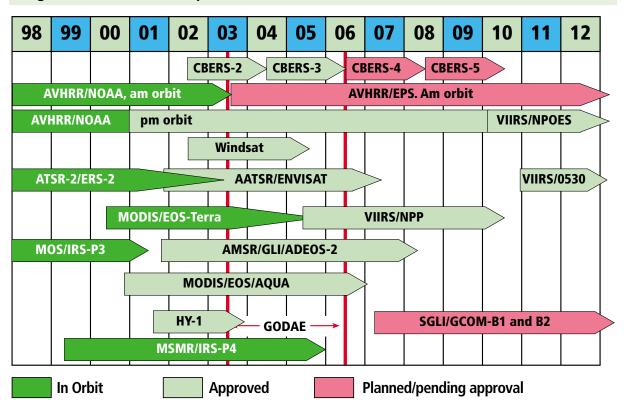
Ocean biology is important not only for understanding ocean productivity and biogeochemical cycling, but also because of its impact on oceanic  $CO_2$  and the flux of carbon from the surface to the deep ocean (i.e., the "biological pump"). Through JGOFS and WOCE, we have a new global understanding of oceanic distributions and air-sea fluxes of  $CO_2$ . Although  $CO_2$  measurement technology currently remains within the research community,  $CO_2$  measurements are becoming routine, both from ships and from buoys (e.g., as at present at JGOFS time series sites, HOT and BATS).  $CO_2$  system measurements, integrated with routine ocean colour and ecological/biogeochemical observations, are critical for understanding the interactions between physics, biology, chemistry, and climate.  $CO_2$  measurements also are important for making climate forecasts, and for satisfying the needs of the climate conventions.

The issues are:

- 1. To refine and co-ordinate the products that can be derived from ocean colour missions.
- 2. To realise the NASA-NPOESS Preparatory mission and proposed Japanese GCOM-B1 mission that are both critical to continuity of ocean-colour data products of high quality.
- 3. To establish routine, *in situ*, measurements of ocean biology and bio-optics from autonomous profilers like ARGO.
- 4. To establish routine measurements of  $pCO_2$  and  $CO_2$  from voluntary observing ships, moored sensors, and drifters, to an accuracy of  $\pm 2-3$  microatmospheres and  $\pm 2$  micromoles respectively.







4.2.4. Sea Surface Temperature

Sea surface temperature (SST) is one of the most important boundary conditions for the general circulation of the atmosphere. SST is also very sensitive to changes in the ocean circulation, as demonstrated time and again by the ENSO cycle. From ships of opportunity, a relatively thin network of moored and drifting buoys, other *in situ* observations, and SST estimates from operational geostationary and low-Earth-orbit satellites, are merged to derive quasi-synoptic global SST fields for a variety of applications. The science community currently depends on these operational SST products for climate and physical oceanographic research, even though the accuracy achieved (circa 0.5°C) is marginal for some scientific investigations. Because the operational satellites are funded through the meteorological network, continuity at this level is foreseen. But continuity beyond ENVISAT for the ATSR-class of instrument is not assured.

The issues are:

- 1. The expansion and refinement of the in situ network.
- 2. To consider how ATSR-class instruments can be introduced into operational systems.

#### 4.2.5. Sea Ice Concentration, Extent, and Drift

Sea ice modulates planetary heat transport by insulating the ocean from the cold polar atmosphere and by modulating the thermohaline circulation of the world ocean through the process of deepwater formation. Moreover, the high albedo of ice further insulates the polar oceans from solar radiation and introduces yet another positive feedback in the climate system. Time series of sea-ice



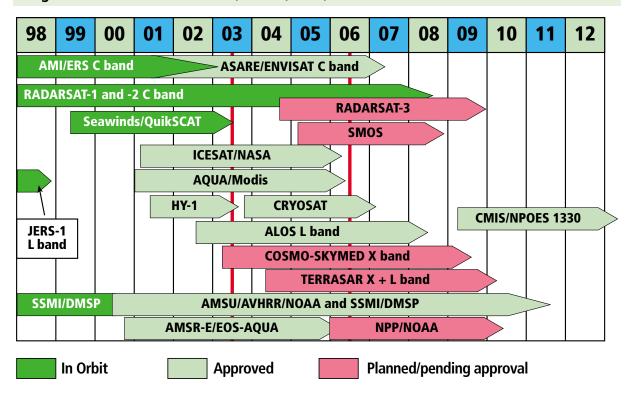


Figure 9: Sea Ice Concentration, Extent, Drift, And Thickness

concentration data also are critical for identifying interannual and decadal fluctuations that could point to the existence of significant changes in oceanic and atmospheric circulation at high latitude.

The motion of sea ice creates patterns of ice convergence and divergence that play a critical role in determining energy and momentum fluxes between the ocean and atmosphere at high latitudes. Furthermore, the production of new ice in areas of ice opening influences the formation of deepwater masses. The Radarsat "Arctic Snapshot" program has provided SAR coverage of the majority of the Arctic every few days since 1996, and ERS and ENVISAT also offer this service. These data are now being used to generate wide-area sea-ice motion and deformation products for the north polar region, and similar products are being planned for the south polar region.

Systematic global observation of sea-ice extent and concentration, inferred from data from passiveimaging microwave radiometry, has already produced a 20-year record of global sea-ice concentration. The Advanced Microwave Scanning Radiometer provided by Japan on the EOS Aqua mission and operational satellite sensors (DMSP/SSM/I; NPOESS/CMIS) ensure the continuity of the global seaice concentration record in the near-term. Also, the continuation of Radarsat/ENVISAT class radar-equipped missions is seen as important in providing complementary high resolution data to further elucidate sea ice processes.

The issue here is for the agencies to continue with their existing and planned missions.

#### 4.2.6. Salinity

Salinity observations are currently not possible from satellite systems. But the need for extensive and accurate observations of salinity has emerged in the operational and research communities as a high priority item. As a first step, the ARGO is a program of temperature/salinity-profiling floats that offers a means to provide extensive salinity profile data on a regular basis. The availability of the profile data



will provide a much-improved basis to assess further the impact of these measurements on the estimation of the state of the ocean and in prediction.

The issue for continuity is thus to ensure the funding and deployment of the ARGO *in situ.* 

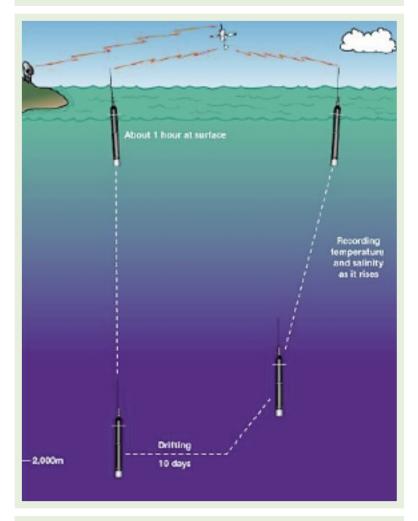
#### 4.2.7. Summary

Combined, the above observations of winds, ocean surface topography, ocean colour, sea surface temperature, sea ice, and salinity constitute a set of measurements that can constrain models relating to ocean wind-forcing, oceanic response, ocean primary production, ocean fish production, and ocean boundary conditions for the atmosphere. Each requires an integrated suite of remotely sensed observations and these must complement and be combined with an integrated suite of in situ observations.

#### 4.3. The Knowledge Challenge

#### 4.3.1. Salinity

Ocean salinity, more than temperature, controls the dynamics of Figure 10: A schematic description of the ARGO (Array for Realtime Geostrophic Oceanography) floats.



ARGO floats are designed to profile periodically the top 1000m of the ocean and transmit ocean properties to satellites while at the surface.

the deep ocean circulation and long-term climate. Sea surface salinity (SSS) determines the depth to which cold surface water may sink to form intermediate and water masses in the deep ocean. World-wide, there is a lack of systematic ocean salinity measurements, except for occasional oceanographic cruises and automatic salinity measurements on vessels that support the voluntary observing system. Thus, global remote sensing of SSS to a useful level of accuracy (better than 1 Practical Salinity Unit) would be a very significant achievement. Developing microwave remote sensing techniques for global observation of sea surface salinity from space is a NASA priority being pursued together with the observation of soil moisture. As part of its Living Planet Program, the European Space Agency has also confirmed a Soil Moisture and Ocean Salinity measuring mission (SMOS) using a two-dimensional interferometric synthetic aperture radiometer system.

The issue here is to develop and prove the technologies that will complement *in situ* salinity measurements and lead to an integrated space/*in situ* system.



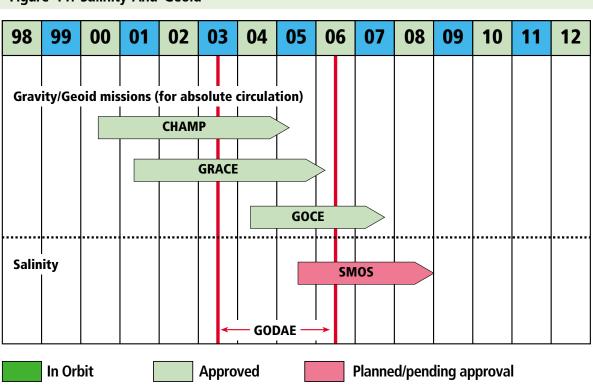


Figure 11: Salinity And Geoid

#### 4.3.2. Precision Gravity Field or Geoid

A series of satellite gravity missions (CHAMP: the German Challenging Minisatellite Payload; GRACE: Gravity Recovery and Climate Experiment, a U.S./German partnership; and GOCE: the Gravity field and steady-state Ocean Circulation Explorer, implemented by ESA) will progressively refine measurements of the Earth's gravity field, culminating in a knowledge of the geoid to a projected accuracy of 2.5 mm at a half wavelength of 100 km. This will enormously enhance the value of satellite altimeter observations, making them useful for determining the mean ocean circulation. In addition, GRACE should be sufficiently accurate at long wavelengths (half wavelength greater than about 500 km) to detect time dependent fluctuations in the gravity field associated with the re-distribution of fluid mass at the Earth's surface. Over the ocean this translates to a capability to measure fluctuations in large-scale ocean bottom pressure, the first satellite remote sensing technique that is not confined to monitoring the ocean surface layer.

#### 4.3.3. Sea Surface Temperature

The limitation of the current suite of SST products has been briefly described above (section 4.2.4). There are several different definitions of SST data products possible, differentiating between skin or bulk validation, day-only or night-only acquisition, and different space-time averaging scenarios. The appropriate choice of definition varies with the application in which the SST product is to be used. The challenge for SST measurement needs to be considered no longer in terms of a specified temperature accuracy, but in terms of the underlying objective, e.g., "capable of detecting a global rise in upper-ocean temperature of 0.1 K", or "able to determine air-sea heat fluxes to a specified accuracy." For many scientific studies and climate applications the accuracy needed is significantly better than  $0.5^{\circ}$ C, and preferably closer to  $0.1^{\circ}$ C.



The issue is to pursue the research and development needed to realise sea surface temperature estimates to an accuracy of  $\pm 0.1^{\circ}$ C on a routine and global basis.

#### 4.3.4. Ocean Biology and the Surface Carbon Flux

Given the current range of demonstration missions planned in this field, the overwhelming need is for research to be carried out in the development of products that accurately describe the biological processes and productivity of the ocean in coastal waters. This need covers areas such as the future development of hyperspectral algorithms for case II waters (waters with significant optical contributions from other than phytoplankton), which will allow much wider application of ocean colour data in the coastal zone. Among the serious challenges for the coastal ocean is the detection, forecasting, and monitoring of potentially harmful algal blooms. There is an immediate need to coordinate the development of common standards and to develop a global network of bio-optical measurements at coastal sites.

There are important research issues for the open ocean, as well. Improvements in understanding oceanic productivity must come from improved knowledge of the temporal and spatial variability in phytoplankton, from simple improvements in temporal and spatial resolution to the detection of episodic blooms. Part of the knowledge challenge is that not all phytoplankton is found at the surface, where it can be seen by satellite, but down several tens of meters below the surface, and sometimes concentrated at interfaces like the thermocline, or nutricline, thus requiring monitoring by *in situ* means. To understand the link between these signals and those at the surface detected by remote sensing requires intensive study of three things. First, *in situ* systems must be able to capture ocean biological data (e.g., via optical or acoustic means) on the same time and space scales as we

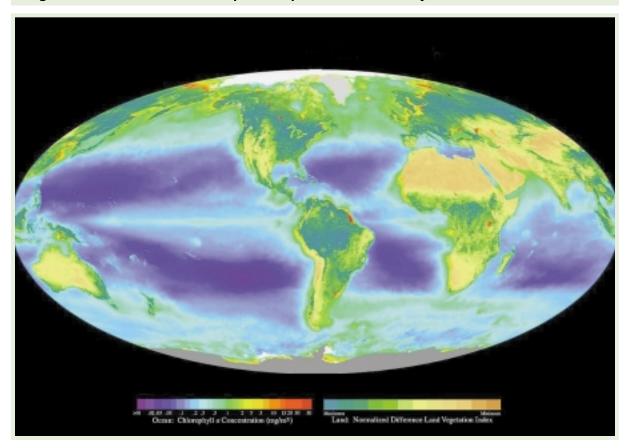


Figure 12: SeaWiFS Global Biosphere September 1997 - May 2000



capture physical data. Second, we need to be able to relate these data to the surface signal observed by satellites. Third, we need to improve models of the behaviour of the biological system *in situ*, both at the surface and as a function of depth.

From the point of view of climate variability, monitoring zooplankton and higher trophic levels may provide the most diagnostic evidence of interannual and inter-decadal change, thereby making now-casting and forecasting of these long-term changes more feasible. That sort of insight requires, at least for the near-term, *in situ* sampling.

One important means to attain knowledge of variability in productivity is from continuous and systematic global observations of ocean colour. It is



Figure 13: Optical Profiling from R/V Mirai

believed that much of the flux of carbon from the surface to the deep sea occurs as a result of blooms of specific types of phytoplankton. In addition, much of the biogeochemistry of the ocean is determined by phytoplankton community structure. Hyperspectral algorithms should allow discrimination of different groups of phytoplankton, and enhance the information to be retrieved from the spatio-temporal variability of ocean colour.

Another issue concerns the optical signals contributed by coloured dissolved organic matter (CDOM) which contaminates the plankton signal observed from satellite and *in situ* bio-optical sensors. Additionally, there is the need to develop and test regional-specific algorithms for the conversion of remotely-sensed colour signals to biological variables (such as pigment concentration) and processes (such as productivity), to calibrate these signals with local *in situ* data, and to relate plankton processes to the carbon flux across the sea-surface and to the deep sea.

It is essential that the comparability of the different colour sensors currently being flown be established by calibration, to ensure compatibility of results where sensors are monitoring different wavelengths.

However, solving the algorithm problem is not the only issue, and space-based measurements are not the only answer. The best way to "monitor" the ocean's biota will be through observations in combination with accurate models of the ocean ecosystem. Observations are never complete and models are never ideal. Thus, once available, the models can be driven by the assimilating observations, on the one hand, as remotely-sensed data from the ocean surface (which is where the algorithms are utilised), and on the other hand, as *in situ* surface and sub-surface data collected at high frequency (i.e., hourly). Controlled experimentation is needed to define what the models require as input parameters, such that the right variables get measured. The measurements should include biogeochemical quantities and fluxes, particularly with regard to the carbon cycle.

A further and equally serious issue is the dearth of information about the biology of the global ocean. The solution to this problem may be some kind of census of marine life—a kind of "biological World Ocean Circulation Experiment"—as proposed in a recent issue of Oceanography Magazine (see reference list), and which reports the results of a series of workshops sponsored by the Sloan Foundation.



#### 4.3.5. Sea Ice Drift and Thickness

The opening and closing of the sea-ice pack largely determines the atmosphere-ocean interactions in polar regions. Thus, sea-ice drift is a necessary component to understanding polar climates. Sea-ice thickness is a critical variable for estimating changes in the sea-ice mass and freshwater budget (sea-ice growth and melting), determining sea-ice mass transport (or equivalent freshwater transport) by ocean currents, and inferring energy and momentum fluxes across the ice-covered ocean surface. No satellite measurement technique currently exists for the direct determination of sea-ice thickness from space, but CryoSat and ICESat are both aimed at this goal. CryoSat is a doppler radar altimeter that will show increased spatial resolution in snow and ice cover. ICESat will have a laser sensor for measuring ice topography. Together, these sensors will permit significant improvements in the estimation of ice thickness.

The issue here is to develop systems capable of providing sea ice thickness. This represents the "Holy Grail" of sea-ice observations, being so critical to the estimation of energy and momentum fluxes between the ocean and atmosphere, and yet eluding practical measurement from space.

#### 4.3.6 Sea-State and Atmospheric Pressure

The state of the sea and surface pressure are two features of the weather that are important to commercial use of the sea (e.g., ship routing and safety, fisheries), and safety of coastal habitats. Synthetic Aperture Radar (SAR) can provide information about the properties of the sea-surface and the wave spectrum, however there are significant limitations to its use operationally. As conditions in the ocean and atmosphere change, so too do the perceived signals. Currently, there are no spacebased observations available for measuring atmospheric pressure over the ocean.

The issues for these measurements are to develop further the capabilities for measuring and forecasting sea state and atmospheric pressure.

#### 4.4. Data Services, Models, Products, and Applications

Up to this point, this Report has discussed observational requirements and challenges for an ocean observing system. The next step is the requirement for a data system that is compatible for data structures and formats and for assuring data quality. The logic behind this requirement is that the extension of local observing systems will require telecommunications networks, and rapid data delivery among the networks and to end-users will require use of common data structures and formats.

The next step is data assimilation. Both space-based and *in situ* observations are discontinuous in space and time. Thus, for operational oceanography, ocean forecasting, and validation, the observational data need to be combined with or assimilated into models. Data assimilation has been used in meteorological forecasting for decades, and is beginning to be used in physical oceanography. For biological oceanography, the topic is in its infancy. Through GODAE and the Ocean Biology Project, the IGOS Ocean Theme will develop data assimilation as a tool for operational oceanography. The result of data assimilation (observations plus model) is a data product.

Operational meteorology is well-established, but since the atmosphere changes faster than the ocean, while being much less spatially variable, the data products and applications for operational oceanography will be very different. And compared to basic research, applications resulting from an ocean observing system will, in general, require higher spatial and temporal resolution in the data products produced. The need for highly-resolved pictures of, for example, sea-surface height or fronts, points to the importance of networks of more localised observational systems. Applications fall into the cateogories of various kinds of forecasts, from short-term sea-state predictions and storm surge to interannual climate forecasts. To be sure, the characteristics of some forecasts will be dependent on



the available data products. Thus, there will have to be an ongoing dialog between end-users and the providers of data products. Appendix 3 lists sources of data products and services currently available and can be used as a tool for further searching on the World Wide Web.

#### 4.4.1. Archives

All providers need to ensure maintenance of an archive of all data and products offered and provide a statement of the access conditions in terms of the mechanics and policies. These should describe how users could obtain the data and whether there are any restrictions on access or usage. There should also be a well-documented statement of the ancillary data needed to understand and use basic data sets and products.

The issue here is for all data and product providers to ensure their archived data are in a form that is understandable and documented.

#### 4.4.2. Quality Control

Assuring that the data and products have a defined and understandable quality assurance attached to them is important. Furthermore, for long-term continuity, the history of quality control needs to be well-described. The objective should be to ensure that a potential user knows and can rely on the quality assurance assigned to a product or data set. For complex products this can be a difficult task but is essential. One means of achieving better quality control is to establish a standardised method for calibrating sensors used in the observing system.

The issue here is for data providers to describe adequately and in a uniform way the quality of their data and products, and to subscribe to a uniform standard of calibration procedures for sensors.

#### 5. Awareness

There is a constant need to inform the relevant bodies at a national and international level of the importance of a sustained and systematic approach to ocean observations. Equally important is for the general public to be made aware of the benefits of understanding the processes that drive the ocean system, and of the need for ocean observations. "Awareness" can take many forms but the issue is to create mechanisms to be able to reach communities outside the oceanographic world. All partners within IGOS have a role in this area, to develop an overall plan, and then keep each other informed of the activities undertaken.

The issues are, first, to create a means for keeping the IGOS Partnership abreast of plans for the observing system, and second, to publicise the activities and benefits of ocean observations to the general public.

### 6. Conclusion

This Report has set out the overview of the observational requirements for the long-term observations of the oceans, which need to be addressed within the next few years. It identifies a series of issues that the IGOS Partners need to address to build and maintain the existing commitments. Clearly these issues are subject to review and change but we believe they presently provide the basis for setting realistic and achievable targets when viewed against the wide range of groups, institutions, and national entities who have set out their own specific needs.

The IGOS Partners are invited to discuss the document and if it is agreed upon, decide how to address the issues raised.



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# **Appendix 1**

## Satellite Missions in Support of IGOS Ocean Theme

Agency	Missions	Lead Agency	Category*	Basic Technologies	Ocean Variables Measured**
NASDA NASDA NASDA NASDA NASDA NASDA NASDA NASDA NASDA NASDA	ADEOS-II/SeaWinds ADEOS-II/GLI ADEOS-II/AMSR ALOS/PALSAR EOS-Aqua/AMSR-E GCOM-B1/AlphaSCAT GCOM-B1/AGLI GCOM-B1/AMSR F/O POLDER-2 TRMM GPM EOIS	NASDA NASDA NASDA NASDA NASDA NASDA CNES NASA NASA NASDA	1 1 1 2 2 2 1 1 2 5	scatterometry spectrometry, radiometry microwave radiometry SAR microwave radiometry scatterometry spectrometry microwave radiometry multi-angle spectrometry passive microwave, radar passive microwave, radar	winds color, SST, ice SST, ice, winds ice, surface props. SST, ice, winds winds color, SST, ice SST, ice, winds color, aerosols precipitation precipitation data system
NOAA NOAA NOAA NOAA NOAA NOAA NOAA	GOES I,J,K,L,M GOES N,O,P,Q SEI (GOES Special Events Imager) POES—K,L,M,N,N WindSat/Coriolis DMSP (Defense Meteorological Satellite Program) NPP (NPOESS Preparatory Project) NPOESS (National Polar-Orbiting Operational Environmental Satellite System) Jason-2	NOAA-NESDIS NOAA-NESDIS NOAA-NESDIS U.S. Navy—Naval Research Laboratory NOAA-NESDIS U.S. Air Force NOAA/NPOESS/ Integrated Program Office NOAA/NPOESS/ Integrated Program Office NAA/NPOESS/	1 1 3/4 1 1 1 3 1 3	imager, sounder imager, sounder VIS-NIR radiometry spectrometry, radiometry, passive microwave passive microwave, polarimetric radiometry radiometry, microwave, radar altimetry radiometry, microwave, radar altimetry radar altimetry	SST, feature tracking SST, feature tracking color winds, SST, ice, color winds, SST meteorology SST, SSH, color, ice SST, SSH, color, ice SSH
EUMETSAT EUMETSAT EUMETSAT EUMETSAT	MSG Series 1-3 EPS METOP Jason-2 Relay (DPC)	EUMETSAT EUMETSAT CNES/NASA	1 1 3	radiometry, altimetry, scatterometry radar altimetry —	SST, ice SSH, winds, ice SSH communications
CNES CNES CNES CNES CNES CNES CNES CNES	TOPEX/POSEIDON Jason-1 Jason-2 Altika Polder-2 Advanced Wide FOV VAGSAT ARGOS SMOS Modeling MERCATOR Multimission (PAC + Gd. Segmt.)	CNES/NASA CNES/NASA CNES/NASA CNES CNES CNES CNES CNES/CLS CNES/ESA CNES CNES	1 3 4 1 4 2 1 1 5 5	radar altimetry radar altimetry radar altimetry  spectrometry spectrometry real-aperture radar  passive microwave 	SSH SSH SSH color, aerosols color wave spectra communications SSS data systems data systems

\* 1 = confirmation and timing of missions already planned; 2 = proposed missions using known technology; 3 = transitioning of research instruments/missions into operational; 4 = development of new technologies, products, or missions; 5 = data and information systems.
\*\* SST = sea surface temperature; SSH = sea surface height;; SSS = sea surface salinity



Agency	Missions	Lead Agency	Category*	Basic Technologies	Ocean Variables Measured**
INPE INPE INPE INPE INPE	CBERS-2 SCD-3 CBERS-3 CBERS-4 CBERS-5	CAST INPE CAST CAST CAST	1 2 2 2 2	spectrometry scatterometry, radiometry, microwave scatterometry, radiometry, microwav scatterometry, radiometry, microwav scatterometry, radiometry, microwav	imaging winds, SST, SSS winds, SST, SSS winds, SST, SSS winds, SST, SSS
DLR	CHAMP	DLR	1	magnetometers, accelero.	gravity, magnetics, SST, GPS
DLR	MAPP-MERIS Application and Regional Products Project	DLR	4	spectrometry	color
DLR DLR DLR DLR	ISIS GRACE MOS on IRS-P3 EOWEB	DLR NASA DLR DLR	5 1 1 5	 gradiometry spectrometry 	data system geoid, gravity color data system
NRSCC	HY-1 Chinese Ocean Color Satellite	CNSA (China National Space Administration)	1	spectrometry, radiometry	color, ice, SST
NASA NASA NASA NASA NASA NASA NASA NASA	TOPEX-POSEIDON QuickSCAT Aqua-MODIS Terra-ASTER, CERES, MISR, MODIS, MOPITT SeaWIFS Radarsat 1 Jason-1 Follow-on Altimeter Mission (Jason-2) Seawinds/ADEOS-2 Follow-on Scatterometer (alphaScat) NPOESS Bridging Mission ICESAT GRACE Modelling/Data Assimilation EOS Data Information System (EOSDIS) Salinity Technical Demo TRMM GPM	NASA/CNES NASA NASA NASA NASA CSA NASA NASA/CNES NASDA NASDA NASDA NASA NASA NASA NASA	1 1 1 1 1 3 1 4 3 1 1 5 5 4 1 2	radar altimetry scatterometry spectrometry spectrometry SAR radar altimetry radar altimetry scatterometry scatterometry scatterometry altimetry, lidar gradiometer 	SSH winds color multiple color ice, surf. props. SSH SSH winds multiple sea ice geoid data system data system data system SSS precipitation precipitation
ESA ESA	ERS-2 ENVISAT	esa Esa	1	radar altimetry, microwave, spectrometry, radiometry radar altimetry, radiometry, SAR,	SSH SST, color, ice, SSH
ESA ESA ESA	GOCE CRYOSAT SMOS	ESA ESA ESA	1 1 1	spectrometer gradiometry radar passive microwave	geoid ice SSS
BNSC	Ice Thickness, Geoid retrieval Small satellite initiative: GANDER	NERC NERC	5 2		ice winds, waves
CSA CSA CSA	Radarsat 1 Radarsat 2 Products from Radarsat	CSA CSA CSA	1 1 5	SAR SAR —	ice ice

\* 1 = confirmation and timing of missions already planned; 2 = proposed missions using known technology; 3 = transitioning of research instruments/missions into operational; 4 = development of new technologies, products, or missions; 5 = data and information systems.
 \*\* SST = sea surface temperature; SSH = sea surface height;; SSS = sea surface salinity

#### Final Report from the Ocean Theme Team

Agency	Missions	Lead Agency	Category*	Basic Technologies	Ocean Variables Measured**
ISRO	IRS-P4/OCM	ISRO	1	spectrometry	color
ISRO	IRS-P4/MSMR	ISRO	1	microwave, radiometry	waves, SST, winds
ISRO	Oceansat-2/Advanced OCM	ISRO	3	spectrometry	color
ISRO	Oceansat-2/Scatterometer	ISRO	3	scatterometry	winds, sea-state
ISRO	Oceansat-2/Radiometer	ISRO	3	microwave, radiometry	waves, SST, winds

\* 1 = confirmation and timing of missions already planned; 2 = proposed missions using known technology; 3 = transitioning of research instruments/missions into operational; 4 = development of new technologies, products, or missions; 5 = data and information systems.
 \*\* SST = sea surface temperature; SSH = sea surface height;; SSS = sea surface salinity



# Appendix 2

# *In Situ* Components of the Global Ocean Observing System (GOOS) for Which Commitments Have Been Made

Compone	nt	Lead Agency	Basic technologies	Variables measured/ Services done
The operational ENSO Observing System in the tropical Pacific, including:	1. TAO	NOAA	ATLAS buoys (toroïdal) equipped with met. surface sensors and a thermistor chain. Some are equipped with mechanical current meters and an ADCP.	surface winds, air temperature, relative humidity, sea surface temperature, and ten subsurface temperatures to a maximum depth of 500 m; upper ocean currents (approx. 10 m to 250 m) are measured at five sites
	2. TRITON	JAMSTEC	TRITON buoys (toroïdal) equipped with met. surface sensors, CTD, and current meter	wind, air temperature, humidity, precipitation, short wave radiation, water temperature, and salinity (down to 750m depth) and current (at 10 meters)
Voluntary Observing Ships (VOS)		WMO	barometer (or barograph), sea thermometer, psychrometer, anemometer + visual obs.	air pressure (incl. tendency), temperature and humidity, wind, SST, sea and swell, clouds, visibility, past and present weather, icing, etc.
Ship-of-Opportunity Programme	Ship-of-Opportunity Programme (SOOP)		XBT or XCTD and/or thermosalinograph	surface and upper ocean (down to 700 meters) temperature, and salinity and surface currents
Data Buoy Co-operation Panel (DBCP) and its action groups	1. Moored buoys	IOC, WMO	various buoy shapes equipped with met. surface sensors and various upper ocean measuring devices	met. surface obs. and various upper ocean variables
	2. Drifting buoys		small buoys fitted with a drogue and equipped with limited sensors (from nothing to barometer, thermometers [air and/or sea surface], anemometer, thermistor chain, etc.]	surface currents and other variables, depending upon available sensors
The Global Sea Level Observing System (GLOSS)		IOC	tide gauges of various types, some equipped with GPS, or pressure gauges on the seabed	sea level

Component	Lead Agency	Basic technologies	Variables measured/ Services done
The Global Telecommunication System (GTS)	WMO	point to point ground- and space- based telecommunication facilities	global data and product exchange
The Global Temperature and Salinity Profile Programme (GTSPP)	ЮС	N.A.	to provide data of the highest possible quality as quickly as possible to users through a "Continuously Managed Database" that merges real-time and delayed-mode data
The GOOS Centre at AOML	NOAA	N.A.	to operate and efficiently manage the XBT program utilising the United States VOS and the Global Drifter Program (GDP); to monitor and correct problems with the data flow, from those programs and from the TAO array to the GTS; and to continue the development of XCTD, Thermosalinograph and ALACE or PALACE float systems.
The Global Coral Reef Monitoring Network (GCRMN)	ioc, unep, wmo, iucn	N.A.	to improve management and sustainable conservation of coral reefs for people by assessing the status and trends in the reefs and how people use and value the resources.
The Continuous Plankton Recorder (CPR) survey	SAHFOS	plankton collector, initially towed at a constant ~10m depth, recently undulating between the surface and up to 100m depth during a tow, fitted with environmental sensors	plankton monitoring in the North Atlantic, North Sea and, from 2000, the Pacific; now providing two-dimensional profiles of plankton and their environment (salinity, temperature, and chlorophyll, possibly also oxygen and nutrients)
The International Bottom Trawl Survey (IBTS)	ICES	vessels capable of trawling and measuring bottom environmental variables (either research vessels or fishing trawlers specially chartered and equipped); readily accessible database maintained by ICES	data on a range of commercial fish species, including herring, sprat, mackerel, cod, haddock, whiting, saithe, and Norwegian pout, along with concomitant physical and chemical oceanographic data (temperature, salinity, nutrients), used for fish stock assessments and provision of regional maps of bottom characteristics such as salinity and temperature
Time Series Station 'S' off Bermuda		ship-supported measurements at a single location over time (no funding for met. observations)	continuous: temperature, salinity, oxygen, fluorescence and light attenuation; discrete: salinity, oxygen, total CO <sub>2</sub> , nitrate, nitrite, phosphate, silicate, etc.; rates of primary production, bacteria growth and pesticides fluxes



Component	Lead Agency	Basic technologies	Variables measured/ Services done
Time Series Station BRAVO in the Labrador Sea	BIO	Ocean Weather Ship (OWS) supported measurements at a single location over time	changes in Labrador Sea Water (intermediate water mass), linked to the North Atlantic Oscillation and winter conditions in Greenland and Labrador Seas
The Electronic JCOMM Products Bulletin	IRI	web site	demonstration of downloadable operational oceanographic products
The Global Observing Systems Information Center (GOSIC)	U.S. agencies (pilot project)	web	to provide descriptions of the 3 observing system elements, their data and their products, and information on how to obtain the data and products
California Cooperative Oceanic Fisheries Investigations (CalCOFI)	SIO	ship designed for mid-water trawling, also capable of conducting bottom trawls, longline sets, plankton tows, oceanographic vertical casts, mud sample bottom grabs, etc.	hydrographic data, primary productivity data, macrozooplankton, biomass, off California coast

#### MAJOR PILOT PROJECTS [These are specifically acknowledged as parts of GOOS]

The Baltic Operational Oceanographic System (BOOS)	EuroGOOS	multi-support operational observing system (fixed stations, ships, buoys, sea-ice network, etc.); international communication network; advanced data QC and validation systems; integrated internationa database; modelling centres	operational oceanographic service for the Baltic (near-real-time products on water level, waves, sea ice, temperature and salinity, currents, algae, hazardous substances, etc.)
The Mediterranean Forecasting System Pilot Project (MFSPP)	EuroGOOS	VOS-based temperature monitoring; moored buoy array (temperature, salinity and currents + biogeochemical and optical measurements); various data (in situ and satellite) assimilation schemes; near-real-time data transmission and product dissemination	prediction of marine ecosystem variability in coastal areas up to the primary producers and from the time scales of days to months, through validated ecosystem models (hydrodynamics and ecosystem fluctuations are connected to large-scale circulation)
The Pilot Research Moored Array in the Tropical Atlantic (PIRATA)	Nat. Agencies in Brazil, France and USA	array of 12 next generation ATLAS buoys (see above); data transmission through Service Argos and available in near real-time on Internet	to describe and understand the evolution of SST, upper ocean thermal structure and air-sea fluxes of momentum, heat and fresh water in the tropical Atlantic
The Global Ocean Data Assimilation Experiment (GODAE)	GODAE Bureau (Melbourne)	a comprehensive, integrated observing system would be established and held in place for an extended period, possibly three years, together with modeling and assimilation components, as well as adequate real-time telemetry and communications	aims to demonstrate the feasibility and practicality of real-time global ocean data modelling and assimilation systems, both in terms of their implementation and in terms of their utility



Component	Lead Agency	Basic technologies	Variables measured/ Services done
The Argo project	godae, clivar	new network of autonomous profiling floats	to greatly enhance the present level of upper ocean temperature and salinity measurement, to sustain improved understanding of climate variability and ocean variability over a range of space and time scales and to underpin a range of operational oceanographic applications
The Rapid Assessment of Marine Pollution (RAMP)	GOOS	test and validate easy-to-use, inexpensive chemical and biological markers; associated training	to be used to assess and improve environmental management in developing countries



# Appendix 3

## **Examples of Data, Products, and Services**

A comprehensive data system does not yet exist for ocean data products. Here we present examples of where various data products can be found, and how determining a strategy for finding such data and services will increase the understanding of how it can be used. Thus, data sources can be found by searching the World Wide Web for the various functions of ocean observing systems. The major functions of ocean observational data are to:

- provide data for numerical weather prediction;
- describe and predict marine meteorological and ocean surface conditions to facilitate safe and efficient marine operations;
- describe and understand the energetic variability and predictability of the physical climate system on time-scales of seasons to centuries through analyses of observations and modelling;
- monitor and predict, as feasible, climatic variability;
- detect and assess importance of the effects of climate change on ocean conditions;
- preserve and restore healthy marine ecosystems;
- manage living marine resources for sustainable use;
- assist in the mitigation of natural coastal hazards; and
- ensure public health.

Observing systems are explicitly designed to be user-driven. They will rely on an end-to-end data and information management system in which products are designed to meet users' needs and the requirements of the users are used to design products to meet those needs. Models and measurements are agreed as the basis for the products, and this information is used to design the observing system elements. The design will recognise that a common set of core variables measured with sufficient resolution will form the basis for the entire system. The major users of the data system are:

- Government agencies, regulators, and public health and certification agencies;
- Environmental management agencies, wildlife protection groups, amenities, and marine parks;
- Operating agencies, services, safety, navigation, ports, pilotage, and search and rescue;
- Small companies, fish farming, trawler skippers, hotel owners, and recreation managers;
- Large companies, offshore oil and gas companies, survey companies, shipping lines, fisheries, dredging companies, and construction companies;
- The single user, tourist, yachtsman, surfer, fisherman, and scuba diver; and
- Scientific researchers in public and private institutions.



Table 1 below is one example of how data products and services can be organised, and provides an initial introduction to ocean observational data. The columns are organised by the two major data providers: satellite and *in situ* programs. A third category, operational, is also included and can be a blend of both satellite and *in situ* data. The three primary sources providing global data for these categories are CEOS International Directory Network *<http://gcmd.gsfc.nasa.gov/ceosidn>*, the GOOS Initial Observing System *<http://ioc.unesco.org/gpsbulletin>*, and the Fleet Numerical Meteorology and Oceanography Center (FNMOC) *<http://152.80.49.210/PUBLIC>*. The Web sites are a good starting point for the kinds of data, products, and services that are currently available. The CEOS IDN has links to all the member Web sites and data sources. The GOOS programmes.

Each entry is associated with a Universal Resource Locator (URL) following Table 1.

	Satellite	In Situ	Operational
Primary Source	CEOS International Directory Network	GOOS Initial Observing System	FNOMC
Local	INPE	BOOS DBCP PIRATA	
Regional		CPR ENSO Observing System FOCI	NOAA-ENSO
Global	CNES EarthObs EOC GESDAAC OCTS PODAAC POLDER TOPEX/Poseidon TRMM	AOML ARGO GLOSS GTSPP SOOP	GODAE JCOMM IRI

#### Table 1. A Listing of Data Products and Services Currently Available

#### Table 1 Key

- AOML (The Global Data Centre of the Atlantic Oceanographic and Meteorological Laboratory of the U.S. National Oceanic and Atmospheric Administration, NOAA) <a href="http://www.aoml.noaa.gov"></a>
- ARGO (A Global Array of Profiling Floats to Understand and Forecast Climate) <a href="http://www.argo.ucsd.edu">http://www.argo.ucsd.edu</a>
- BOOS (Baltic GOOS) <http://www.soc.soton.ac.uk/OTHERS/EUROGOOS>
- CEOS International Directory Network (IDN) <http://gcmd.gsfc.nasa.gov/ceosidn>
- CNES (Centre National d'Etudes Spatiale) <http://www.cnes.fr> <http://www-projet.cst.cnes.fr:8060/Fr/HomeFr.html>



- CPR (The Continuous Plankton Recorder) programme of the Sir Alastair Hardy Foundation for Ocean Science (SAHFOS) <a href="http://www.npm.ac.uk/sahfos/introduction.html">http://www.npm.ac.uk/sahfos/introduction.html</a>
- DBCP (Fixed and drifting buoys co-ordinated by the Data Buoy Co-operation Panel) <http://dbcp.nos.noaa.gov/dbcp>
- EarthObs (NASA's Earth Observatory) <http://earthobservatory.nasa.gov/Observatory/datasets.html>
- ENSO Observing System (tropical Pacific) <http://www.ogp.noaa.gov/enso> <http://www.pmel.noaa.gov/toga-tao>
- EOC (Earth Observation Center, NASDA) <http://www.eoc.nasda.go.jp/homepage.html>
- ESA (European Space Agency) <http://www.esrin.esa.it/htdocs/esa/progs/eo.html>
- FOCI (Fisheries-Oceanography Coordinated Investigations) <http://hilo.pmel.noaa.gov/foci/data.html>
- FNMOC (Fleet Numerical Meteorology and Oceanography Center) <a href="http://152.80.49.210/PUBLIC">http://152.80.49.210/PUBLIC</a>>
- GCRMN (The Global Coral Reef Monitoring Network) <http://coral.aoml.noaa.gov/gcrmn/index.html>
- GESDAAC (Goddard Earth Science Distributed Active Archive Center) <htp://daac.gsfc.nasa.gov>
- GLOSS (The Global Sea Level Observing System network of tide gauges) <a href="http://www.pol.ac.uk/psmsl/gloss.info.html">http://www.pol.ac.uk/psmsl/gloss.info.html</a>
- GODAE (Global Ocean Data Assimilation Experiment) <http://www.bom.gov.au/bmrc/mrlr/nrs/oopc/godae/homepage.html>
- GOOS Initial Observing System (Global Ocean Observing System) <a href="http://ioc.unesco.org/gpsbulletin">http://ioc.unesco.org/gpsbulletin</a>>
- GTSPP (The Global Temperature and Salinity Profile Programme) <http://www.nodc.noaa.gov/GTSPP/gtspp-home.html>
- INPE (Instituto Nacional de Pesquisas Espaciais) <http://www.inpe.br>
- IRI (International Research Institute for Climate Prediction) <http://www.iri.ldeo.columbia.edu>
- JCOMM (Joint WMO/IOC Technical Commission for Oceanography and Marine Meteorology) (Electronic Products Bulletin) <a href="http://iri.ldeo.columbia.edu/climate/monitoring/ipb">http://iri.ldeo.columbia.edu/climate/monitoring/ipb</a>
- JGOFS (Joint Global Ocean Flux Study) <http://www1.whoi.edu/jgofs.html>
- NOAA-ENSO (National Oceanic and Atmospheric Administration-El Niño—Southern Oscillation) <http://www.ogp.noaa.gov/enso>
- OCTS (Ocean Color and Temperature Scanner) (NASDA, NOAA, NASA) <a href="http://seawifs.gsfc.nasa.gov/seawifs\_scripts/octs\_browse.pl">http://seawifs.gsfc.nasa.gov/seawifs\_scripts/octs\_browse.pl</a>
- PIRATA (Pilot Research Moore Array in the Tropical Atlantic) <http://www.ifremer.fr/orstom/pirata/piratus.html>
- PODAAC (Physical Oceanography Distributed Active Archive Center) <http://podaac.jpl.nasa.gov>
- POLDER (POLarization and Directionality of the Earth's Reflectance) <http://www-projet.cst.cnes.fr:8060/POLDER/SCIEPROD/geoparam.htm>
- SOOP (Upper ocean measurements of the Ship-of-Opportunity Programme) <a href="http://www.ifremer.fr/ird/soopip">http://www.ifremer.fr/ird/soopip</a>
- Ocean Topography Experiment (TOPEX)/Poseidon <http://topex-www.jpl.nasa.gov>
- TRMM (Tropical Rainfall Measuring Mission) <http://trmm.gsfc.nasa.gov>





CEOS Committee on Earth Observation Satellites http://www.ceos.org



FAO Food and Agriculture Organisation http://www.fao.org

GCOS Global Climate Observing System http://www.wmo.ch/web/gcos/gcoshome.html



GTOS Global Terrestrial Observing System http://www.fao.org/gtos/

Global Ocean Observing System http://ioc.unesco.org/goos/

GOOS



ICSU International Council for Science http://www.icsu.org



IGBP International Geosphere Biosphere Programe http://www.igbp.kva.se/



IGFA International Group of Funding Agencies for Global Change Research IGFA@forskningsradet.no

IOC-UNESCO Intergovernmental Oceanographic Commission of UNESCO http://ioc.unesco.org/iocweb/



UNEP United Nations Environment Programme http://www.unep.org



UNESCO United Nations Educational, Scientific and Cultural Organisation http://www.unesco.org



WCRP World Climate Research Programme via http://www.wmo.ch

WMO World Metorological Organisation http://www.wmo.ch

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